





## Demat Debit and Pledge Instruction (“DDPI”)

Date DD - MM - YYYY

Application No

UCC / DP ID 1 2 0 5 9 1 0 0

Client ID













UCC

I/We agree to the terms and conditions of DDPI document between the undersigned individual(s) (hereinafter referred to as client) and M/s Lakshmishree Investment And Securities Pvt Ltd. (hereinafter referred to as “Lakshmishree”), a Company duly incorporated Act, 1956 and registered office at M/s Lakshmishree Investment And Securities Pvt Ltd. Unit No 407, IV Floor, Marathon Icon Marathon Nextgen Campus, Ganpat Rao Kadam Marg, Mumbai-400013 Opposite Peninsula Corporate Park, Lower Parel, India;

Whereas Lakshmishree is a SEBI registered Stock Broker & Depository Participant and is providing Stock Broker & Depository Participant services to their registered clients. Whereas Lakshmishree has the following Principal accounts/ Clearing Member Accounts/ Early Pay-in / Pool / Margin Pledge accounts / CMBP Ids to receive securities from the clients:

NSE POOL	1205910000002790	BSE POOL	1205910000017558
NSE EARLY PAY-IN	1100001100017252	BSE EARLY PAY-IN	1100001000023249
PLEDGE A/c	1205910000290392	MTF PLEDGE A/c	1205910000290675

This DDPI document shall be in line with SEBI Circular no. SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2022, as may be updated from time to time, and I agree to the below points :

SI No.	Purpose	Signature of Client
1	I/We agree and permit Lakshmishree to transfer any securities held in my beneficial owner account towards any Exchange (any SEBI Recognised Exchanges where Lakshmishree is a member) related deliveries / settlement obligations arising out of trades executed by me / us on the Exchanges through Lakshmishree.	 Signature of Client
		 Signature of Client
		 Signature of Client
2	I/We agree and confirm to allow pledging / repledging of securities in favour of Lakshmishree and the clearing member (CM) with whom Lakshmishree is a member of, for the purpose of meeting my /our margin requirements in connection with the trades executed by me/us on the Exchanges.	 Signature of Client
		 Signature of Client
		 Signature of Client
3	I/We agree enabling Mutual Fund transactions to be executed via stock exchange operated order entry platforms, such as BSEStar MF & NSE NMF.	 Signature of Client
		 Signature of Client
		 Signature of Client
4	I/We agree to enable tendering of shares submitted by me under any open offers via stock exchange platforms.	 Signature of Client
		 Signature of Client
		 Signature of Client

NOMINATION FORM

To be filled in by individual applying singly or jointly

LAKSHMISHREE INVESTMENT AND SECURITIES PVT. LTD

57, 2nd Floor Gandhi Nagar Siga, Varanasi, UP- 221010

Date -- UCC / DP ID  Client ID

I/We wish to make a nomination. [ As per details given below ]

NOMINATION DETAILS

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Nomination can be made upto three nominees in account.		Details of 1 <sup>st</sup> Nominee		Details of 2 <sup>nd</sup> Nominee		Details of 3 <sup>rd</sup> Nominee		
1	Name of the nominee(s) (Mr./Ms.)							
2	Share of each Nomination	Equally (if not equally, Please specify percentage )	%	%	%	Any odd lot after division shall be transferred to the first nominee mentioned in the form		
3	Relationship with the applicant (if Any )							
4	Address of Nominee(s) :							
	City / Place :							
	State & Country :							
	PIN Code :							
5	Mobile / Telephone No. of nominee(s)							
6	E-mail ID of Nominee(s)							
7	Nominee Identification details- [ Please tick any one of following and provide details of same ] <input type="checkbox"/> Photograph & Signature , <input type="checkbox"/> PAN, <input type="checkbox"/> Saving bank A/C No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat Account ID							

Sr. Nos. 8-14 should be filled only if nominee(s) is a minor :

8	Date of Birth { In case of Minor Nominee(s)}							
9	Name of Guardian ( Mr./Ms.) {in case of minor nominee(s)}							
10	Address of Guardian(s)							
4	Address of Nominee(s) :							
	City / Place :							
	State & Country :							
	PIN Code :							

5	Mobile / Telephone No. of nominee(s)			
6	E-mail ID of Nominee(s)			
7	<b>Nominee Identification details-</b> [ Please tick any one of following and provide details of same ] <input type="checkbox"/> Photograph & Signature , <input type="checkbox"/> PAN, <input type="checkbox"/> Saving bank A/C No. <input type="checkbox"/> Proof of Identity <input type="checkbox"/> Demat			
<b>Name(s) of holder(s)</b>				<b>Signature(s) of holder</b>
Sole / First Holder (Mr. / Ms.)				01 Signature of Client
Second Holder (Mr. / Ms.)				01 Signature of Client
Third Holder (Mr. / Ms.)				01 Signature of Client

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

Note:- This nomination shall supersede any prior nomination made by the account holder(s), if any.  
the trading Member / Depository Participant shall provide acknowledgment of the nomination form to the account holder(s).

**LAKSHMISHREE INVESTMENT AND SECURITIES PVT. LTD**

57, 2nd Floor Gandhi Nagar Siga, Varanasi, UP- 221010

**DECLARATION FORM FOR OPTING OUT OF NOMINATION**

To \_\_\_\_\_ Date DD - MM - YYYY

Trading Member / Participant's Name \_\_\_\_\_

Trading Member / Participant's Address \_\_\_\_\_

UCC / DP ID 1 2 0 5 9 1 0 0 Client ID ( only for Demat Account ) \_\_\_\_\_

Prefix First Name Middle Name Last Name

Sole / First Holder Name \_\_\_\_\_

Second Holder Name \_\_\_\_\_

Third Holder Name \_\_\_\_\_

I/ We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / Demat account and understand the issue involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claming of assets held in my / our trading / Demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / Demat account.

Name \_\_\_\_\_ Name \_\_\_\_\_ Name \_\_\_\_\_

01 Signature of Client 01 Signature of Client 01 Signature of Client

\* Signature of Witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

## MANDATORY / ESSENTIAL DETAILS & e-KYC DOCUMENTS - REQUIRED

- (1) Mobile Number
- (2) Valid E-mail ID
- (3) Mother Maiden Name
- (4) Aadhaar Card (must be Link with your mobile for OTP)
- (5) Virtual ID
- (6) Pan Card
- (7) One Photograph
- (8) Original One Cheque Leaf
- (9) Bank Statement / Passbook (current month, not more than 3 month old)



Regd. Office : Unit No. 401, 4th Floor, Marathon Nextgen Campus, Ganpatrao Kadam Marg  
Opposite Peninsula corporate park, Lower Parel, Mumbai-400013 • Phone : 022-43431818